



COMPLIANCE INFORMATION NEWSLETTER Issue 13 October 2006

This edition of the Compliance Information Newsletter reviews:

- CSA Certification Compliance Review
- CEO/CFO Certificates
- MI 52-109 – Certification Of Design Of Internal Control Over Financial Reporting
- Alberta Securities Commission – Fee Increases
- Financial Statements Deadlines, Filing Fees for 2006/2007
- Seminars

CSA Certification Compliance Review

The Canadian Securities Administrators (CSA) has issued CSA Staff Notice 52-315 - Certification Compliance Review. The Notice outlines the results of a review on compliance with MI 52-109. The notice also provides guidance on complying with the certification and disclosure in the annual MD&A regarding the effectiveness of disclosure controls and procedures.

The Notice is available through the ASC Website: www.albertasecurities.com under the "What's New" tab

CEO/CFO Certification

Issuers with years ending after June 29, 2006 will be required to file full annual certificates (Form 52-109F1). The full annual certificate requires certifying officers to certify that they have designed internal control over financial reporting, or caused it to be designed under their supervision.

MI 52-109 – Certification Of Design Of Internal Control Over Financial Reporting

CSA Notice 52-316 was released on September 22, 2006. This notice communicates staff's views regarding the ability of an issuer to certify the design of internal control over financial reporting if the certifying officers are aware of a weakness the design.

The Notice is available through the ASC Website: www.albertasecurities.com under the "What's New" tab

Alberta Securities Commission Notice of Fee Increase

On September 6, 2006 the ASC announced that they will increase fees on certain activities by 20% over a three year period starting on October 1, 2006. For example, the fee for filing audited financial statements will be: \$270 effective October 1, 2006; \$288 on April 1, 2007 and finally \$300 on April 1, 2008. A copy of the new fee schedule can be obtained from their website.

FILING DEADLINES

Please find attached a chart for your filing deadlines.

AUDITED FINANCIAL STATEMENT FILING FEES

There are 3 sets of fees payable with the filing of your audited, annual financial statements

- Securities Commission fee
- SEDAR continuous disclosure fee
- SEDI annual fee

The Securities Commission fees for filing audited financial statements for 2006/2007 are

Province or Territory	Fee**	Province or Territory	Fee**
Alberta	270	Ontario	***
British Columbia	600	PEI	Nil
Manitoba	100	Quebec	1000
New Brunswick	250	Saskatchewan	100
Newfoundland	250	NWT / Nunavut	Nil
Nova Scotia	250	Yukon	Nil

** fees may be different if you are a reporting issuer under NI 44-101. If you require assistance with the fees please contact our office.

***fees to be calculated according to OSC Rule 13-502

SEDAR/SEDI CONTINUOUS DISCLOSURE FEE IS:

	SEDAR Fee	SEDI Fee	GST	Total
Multi-Jurisdictional	495.00	1,100	95.70	1,690.70
Single-Jurisdictional	205.00	500.00	42.30	747.30

Please note that if Global is your SEDAR filing agent, your cheque for the above fees must reach our office on or before the date you submit your annual financial statements.

Seminars

Global offers Regulatory Compliance Seminars twice yearly. Our next seminars will be held in Vancouver on November 2 & 3 and in Calgary on November 8 & 9.

Filing Chart & Calendar for Periodic Disclosure Requirements

PERIOD ENDING			DOCUMENT TYPE	VENTURE ISSUERS	NON-VENTURE ISSUERS
				DUE DATE	
DEC	Year-end	31-Dec	Annual financial statements, MD&A and AIF*	30-Apr	31-Mar
	Q1	31-Mar	Interim financial statements and MD&A	30-May	15-May
	Q2	30-Jun	Interim financial statements and MD&A	29-Aug	14-Aug
	Q3	30-Sep	Interim financial statements and MD&A	29-Nov	14-Nov
JAN	Year-end	31-Jan	Annual financial statements, MD&A and AIF*	31 May	1 May
	Q1	30-Apr	Interim financial statements and MD&A	29-Jun	14-Jun
	Q2	31-Jul	Interim financial statements and MD&A	29-Sep	14-Sep
	Q3	31-Oct	Interim financial statements and MD&A	30-Dec	15-Dec
FEB	Year-end**	28-Feb	Annual financial statements, MD&A and AIF*	28 JUN	29 May
	Q1	31-May	Interim financial statements and MD&A	30-Jul	15-Jul
	Q2	31-Aug	Interim financial statements and MD&A	30-Oct	15-Oct
	Q3	30-Nov	Interim financial statements and MD&A	29-Jan	14-Jan
MAR	Year-end	31-Mar	Annual financial statements, MD&A and AIF*	29-Jul	29-Jun
	Q1	30-Jun	Interim financial statements and MD&A	29-Aug	14-Aug
	Q2	30-Sep	Interim financial statements and MD&A	29-Nov	14-Nov
	Q3	31-Dec	Interim financial statements and MD&A	1-Mar	14-Feb
APR	Year-end	30-Apr	Annual financial statements, MD&A and AIF*	28-Aug	29-Jul
	Q1	31-Jul	Interim financial statements and MD&A	29-Sep	14-Sep
	Q2	31-Oct	Interim financial statements and MD&A	30-Dec	15-Dec
	Q3	31-Jan	Interim financial statements and MD&A	1-Apr	17-Mar
MAY	Year-end	31-May	Annual financial statements, MD&A and AIF*	28-Sep	29-Aug
	Q1	31-Aug	Interim financial statements and MD&A	30-Oct	15-Oct
	Q2	30-Nov	Interim financial statements and MD&A	29-Jan	14-Jan
	Q3***	28-Feb	Interim financial statements and MD&A	29 Apr	14 Apr
JUN	Year-end	30-Jun	Annual financial statements, MD&A and AIF*	28-Oct	28-Sep
	Q1	30-Sep	Interim financial statements and MD&A	29-Nov	14-Nov
	Q2	31-Dec	Interim financial statements and MD&A	1-Mar	14-Feb
	Q3	31-Mar	Interim financial statements and MD&A	30-May	15-May
JUL	Year-end	31-Jul	Annual financial statements, MD&A and AIF*	28-Nov	29-Oct
	Q1	31-Oct	Interim financial statements and MD&A	30-Dec	15-Dec
	Q2	31-Jan	Interim financial statements and MD&A	1-Apr	17-Mar
	Q3	30-Apr	Interim financial statements and MD&A	29-Jun	14-Jun
AUG	Year-end	31-Aug	Annual financial statements, MD&A and AIF*	29-Dec	29-Nov
	Q1	30-Nov	Interim financial statements and MD&A	29-Jan	14-Jan
	Q2***	28-Feb	Interim financial statements and MD&A	29 Apr	14 Apr
	Q3	31-May	Interim financial statements and MD&A	30-Jul	15-Jul
SEP	Year-end	30-Sep	Annual financial statements, MD&A and AIF*	28-Jan	29-Dec
	Q1	31-Dec	Interim financial statements and MD&A	1-Mar	14-Feb
	Q2	31-Mar	Interim financial statements and MD&A	30-May	15-May
	Q3	30-Jun	Interim financial statements and MD&A	29-Aug	14-Aug
OCT	Year-end	31-Oct	Annual financial statements, MD&A and AIF*	28-Feb	29-Jan
	Q1	31-Jan	Interim financial statements and MD&A	1-Apr	17-Mar
	Q2	30-Apr	Interim financial statements and MD&A	29-Jun	14-Jun
	Q3	31-Jul	Interim financial statements and MD&A	29-Sep	14-Sep
NOV	Year-end	30-Nov	Annual financial statements, MD&A and AIF*	30-Mar	28-Feb
	Q1***	28-Feb	Interim financial statements and MD&A	29 Apr	14 Apr
	Q2	31-May	Interim financial statements and MD&A	30-Jul	15-Jul
	Q3	31-Aug	Interim financial statements and MD&A	30-Oct	15-Oct

* If not using AIF exemption ** In a year including February 29, due June 28 for venture issuers and May 29 for non-venture issuers *** In a year including February 29, due April 29 for venture issuers and April 14 for non-venture issuers