



The Responsibilities of Corporate Governance

This two-day seminar explores the roles and responsibilities of the members of the Management team and Board of Directors of a public company. It will enable participants to identify and resolve critical gaps in Corporate Governance policies and procedures and to ensure that requirements of the Stock Exchanges and the Canadian Securities Commissions are met in an accurate and timely manner. From continuous disclosure obligations to board committee mandates, you will emerge with the confidence to deal efficiently and effectively with your public company compliance requirements.

Who Should Attend

Executive Officers; Directors; Corporate Secretaries; Compliance Officers
Seminar attendees should have a basic understanding of public company regulations.

Your Presenter – Joan Moody

Joan Moody has been consulting to public companies since 1996, following a decade of Exchange experience where she saw first-hand the challenges many listed companies face complying with regulatory issues. Today, through the consulting company, Global Corporate Compliance, Joan helps companies throughout Canada meet day-to-day securities and exchange requirements accurately and on time, and keeps them up to date on emerging issues, changing policies and effective working procedures. Joan has taught Regulatory Compliance seminars to audiences across Canada for more than 12 years.

SEMINAR OUTLINE

- ***Role of Board of Directors and Governance Issues***
Discussion of fiduciary duty, independent directors, performance evaluation
- ***Continuous Disclosure Obligations***
Includes a review of Management's Discussion & Analysis Form, Annual Information Form, Information Circular and Statement Of Executive Compensation
- ***Corporate Governance***
Discussion of Board of Director Roles and Responsibilities, Audit Committee Responsibilities, and Internal Controls over Financial Reporting
- ***Insider Trading and Reporting***
Review of Insider Trading Reports, SEDI and Blackout Policies
- ***Shareholder Communications***
Discussion of Disclosure Committees, Secondary Liability Laws and Shareholder Meetings

REGISTRATION FORM

To reserve your space for this seminar, please contact Global Corporate Compliance, phone (403) 216-8450, fax (403) 216-8459, or email: info@globalcci.com.

Seminar Fee: \$577.50 (inc. GST)

Attendee Information (please print)

Surname Given Name

Firm Position/Title

Address

City Province Postal Code

Phone Fax

E-mail Address

Payment (registrations must be prepaid)

Cheque Visa Mastercard

Credit Card Number Expiry Date

Signature of Cardholder Print Name of Cardholder

Address (if different from above)

Select Seminar

- June 12/13 Morris J. Wosk Centre for Dialogue, Vancouver, BC
 June 18/19 The Metropolitan Centre, Calgary, AB

REFUND & CANCELLATION POLICY – Course fee is refundable (less \$100) if notice is received 2 business days before the course. Substitutions may be made at any time. Full refunds will be made if the seminar is cancelled due to lack of registration. We reserve the right to cancel seminars, change speakers, location, or content. GST #899530935